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PATENT APPLICATION 448-02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Jacques GOUDEAU, et al.

Appln. No. 09/975,508

Confirmation No.: 9788

Filed: October 10, 2001



Group Art Unit: 2874

Examiner: Not Yet Known

For: PROCESS FOR ON-LINE SPHEROIDIZATION OF QUARTZ AND SILICA PARTICLES

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under § 1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No. 09/975,508

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

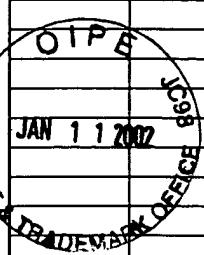
A handwritten signature in black ink, appearing to read 'Brian W. Hannon', written over a horizontal line.

Brian W. Hannon  
Registration No. 32,778

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Facsimile: (202) 293-7860

Date: January 11, 2002

Substitute for form 1449 A & B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	09/975,508
				Confirmation Number	9788
				Filing Date	October 10, 2001
				First Named Inventor	Jacques GOUDEAU
				Art Unit	2874
Sheet 1 of 1				Examiner Name	Not Yet Known
				Attorney Docket Number	A8218

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 3,982,916		09/28/1976	Miller
		US 5,522,007		05/28/1996	Drouart, et al.
		US 5,558,822		09/24/1996	Gitman, et al.
		US 4,708,726		11/24/1987	Miller, et al.
		US 5,035,484		07/30/1991	Ishiguuro, et al.
		US 4,900,894		02/13/1990	Tanaka, et al.
		US 5,894,537		04/13/1999	Berkey, et al.
		US 5,834,840		11/10/1998	Robbins, et al.
		US 5,693,116		12/02/1997	Kanamori, et al.

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

**FILING RECEIPT**  
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PAPER(S) FILED ENTITLED:



1. Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted (in duplicate) with executed Declaration and Power of Attorney, copy of "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted", and Check in the amount of \$130.00
2. Executed Assignment with PTO Form 1595 and Check in the amount of \$40.00
3. Information Disclosure Statement (with references and PTO Form 1449)

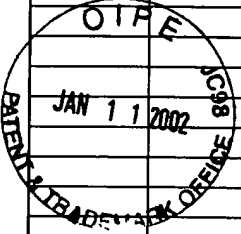
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ATTORNEY/SEC: BWH:phv

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## U.S. PATENT DOCUMENTS

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		Number	Kind Code <sup>2</sup> (if known)		
		US 4,608,276		08/26/1986	Lamb, et al.
		US 4,494,968		01/22/1985	Bhagavatula, et al.
		US			
		US			
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## FOREIGN PATENT DOCUMENTS

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Examiner Signature		Date Considered	
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